



06-28-04

RCE/1765 \$
JFW

Practitioner's Docket No. 56,775-RCE (70551)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: N. Kanetsuki, et al. Confirmation No. 4596
Application No.: 10/015,446 Art Unit: 1765
Filed: 12/12/2001 Examiner: L. Vinh
For: PLASMA PROCESSING METHOD

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION UNDER 37 C.F.R. 1.10*
(Express Mail label number is mandatory.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date June 24, 2004 in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EV438994143US addressed to Box: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lakeisha R. Bryant

(type or print name of person mailing paper)

Lakeisha R. Bryant

Signature of person mailing paper

REQUEST FOR CONTINUED EXAMINATION (RCE)
(37 C.F.R. 1.114)

1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. Section 1.114, for the above identified application.

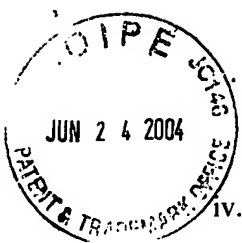
TIME REQUEST IS BEING MADE

2. This request is being submitted (check appropriate item(s) below):

- i. ☒ Prior to abandonment of the application
- ii. ☐ Payment of the issue fee
 - ☐ Prior to payment of issue fee
 - ☐ Issue fee has been paid but a petition under Section 1.313 has been granted
- iii. ☐ Prior to a decision on appeal to the Board of Patent Appeals & Interferences
 - ☐ A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.

06/30/2004 CNGUYEN 00000033 10015446

01 FC:1801 770.00 OP
02 FC:1251 110.00 OP



- iv. ☐ Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145
☐ Commencement of a civil action under 35 U.S.C. 146
☐ Prior to the filing of such appeal or commencement of civil action
☐ Such appeal or commencement of civil action has been terminated

ENCLOSURES

3. Enclosed herewith is/are:

- ☐ An information disclosure (37 C.F.R. Section 1.98)
☐ Form PTO-1449 (PTO/SB/08A and 08B)
☒ A preliminary amendment
☐ New arguments
☐ New evidence in support of patentability
☐ Other:

FEE FOR REQUEST (37 C.F.R. Section 1.17(e)).

4. This application is on behalf of:

- ☐ Small entity (and status is still as small entity) \$
☒ Other than a small entity \$770.00

Continued Prosecution Request Fee \$ 770.00

FEE FOR CLAIMS

5. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

(Col.1)		(Col. 2)	(Col. 3) SMALL ENTITY				OTHER THAN A SMALL ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR	Rate	Addit. Fee
Total	11 Minus	20	= 0	x \$9 =	\$		x \$18 =	\$
Indep.	3 Minus	3	=0	x \$42 =	\$		x \$86 =	\$
[] First Presentation of Multiple Dependent Claim				+ \$140 =		\$0	+ \$280 = \$	
Total Addit. Fee \$0.00								

(complete (c) or (d), as applicable)

(c) ☐ No additional fee is required.

OR

(d) ☐ Total additional fee required is \$0.00

EXTENSION OF TIME

(If an extension of time is appropriate complete (a) or (b), as applicable)

6. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

(a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. Section 1.17(a)(1)-(4), for the total number of months checked below:

<u>Extension for (months)</u>	<u>Fee for small entity</u>	<u>Fee for other than small entity</u>
<input checked="" type="checkbox"/> one month	\$ 55	\$110
<input type="checkbox"/> two months	\$ 210	\$420
<input type="checkbox"/> three months	\$ 475	\$950
<input type="checkbox"/> four months	\$740	\$1,480
	Fee	<u>\$110.00</u>

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$

OR

(b) ☐ Applicant believes that no extension of time is required. However, this is a conditional petition and authorization to pay the necessary fees to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE(S) DUE

WARNING: *The fee for continued examination under Section 1.114 may not be deferred. 37 C.F.R. Section 1.53(f).*

7. The total fee(s) due is/are:

Continued Prosecution Fee (Section 1.17(e))	\$ 770.00
Fee(s) for additional claims (if any) (Section 1.16(b)-(d))	\$ 0.00
Extension of time fee (if any) (Section 1.17(a)(1)-(4))	\$ 110.00
Total Fee(s) Due:	\$ <u>880.00</u>

PAYMENT OF FEE(S) DUE

8. Please pay the fee(s) for this continued examination application as follows:

<input checked="" type="checkbox"/> Check is attached for the sum of	\$ <u>880.00</u>
<input type="checkbox"/> Charge Account _____ the sum of	\$ _____
<input type="checkbox"/> Charge Credit Card the sum of (Credit Card Payment Form (PTO-2038) attached.)	\$ _____

Please charge any required additional fee(s) for Section 1.17(e), Section 1.16(b)-(d) and/or Section 1.17(a)(1)-(4) to

<input checked="" type="checkbox"/> Account <u>04-1105</u>
<input type="checkbox"/> Credit Card (Credit Card Payment Form (PTO-2038) attached.)

INVENTORSHIP

9. This application as amended names as inventors:

<input checked="" type="checkbox"/> the same inventors as previously designated for the claims.
<input type="checkbox"/> fewer than the inventors previously designated and a statement accompanies this request for the deletion of the name or names of the person or persons who are not inventors of the invention now being claimed.

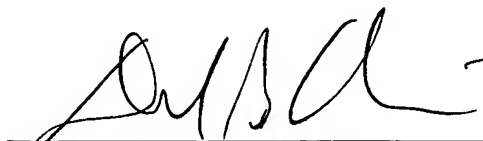
- ☐ a person not named previously as an inventor and a petition under 37 C.F.R. Section 1.48
is/has separately:
☐ being filed
☐ been filed

Date: June 24, 2004

Reg. No.: 27,026

Tel. No.: (617) 517-5515

Customer No.: 21874



SIGNATURE OF PRACTITIONER

David G. Conlin

(type or print name of practitioner)

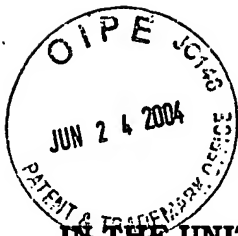
EDWARDS & ANGELL, LLP

P.O. Address

P.O. Box 55874

Boston, MA 02205

449139



Docket No. 56,775 RCE (70551)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: N. Kanetsuki, et al.

EXAMINER: L. Vinh

U.S.S.N.: 10/015,446

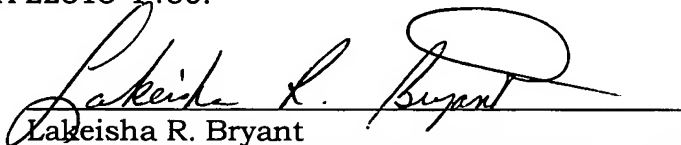
ART UNIT: 1765

FILED: 12/12/2001

FOR: Plasma Processing Method

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date June 24, 2004 in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EV438994143US addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Lakeisha R. Bryant

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

This application is an RCE of prior U.S.S.N.: 10/015,446, filed on December 12, 2001. Applicants kindly ask that the above-identified application be amended prior to examination as set forth herein. In particular, this amendment addresses the outstanding rejections as set forth in the February 24, 2004 Final Rejection and the June 4, 2004 Advisory Action.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.